

FORM PTO-150 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 6236-16-DCL	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/088048	
INTERNATIONAL APPLICATION NO. PCT/EP00/07981		INTERNATIONAL FILING DATE August 16, 2000		PRIORITY DATE CLAIMED September 18, 1999	
TITLE OF INVENTION WATER TREATMENT AGENT FOR THE PROLONGATION OF THE WATER EXCHANGE INTERVALS IN MAINTENANCE SYSTEMS					
APPLICANT(S) FOR DO/EO/US RITTER, Gunter					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (e)(5)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).					
Items 13 to 20 below concern document(s) or information included:					
13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail 23. <input type="checkbox"/> Other items or information:					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.71) 107088048	INTERNATIONAL APPLICATION NO. PCT/EP00/07981	ATTORNEY'S DOCKET NUMBER 6236-16-DCL
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24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$890.00				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than _____ <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). \$0.00					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	10 - 20 =	0	x \$18.00	\$0.00	
Independent claims	1 - 3 =	0	x \$84.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$0.00					
SUBTOTAL =				\$890.00	
Processing fee of \$130.00 for furnishing the English translation later than _____ <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). \$0.00					
TOTAL NATIONAL FEE =				\$890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$890.00	
				Amount to be refunded	\$
				charged	\$

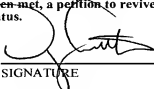
- a. ☐ A check in the amount of _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 23-0450 in the amount of \$890.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0450 A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Gunter Ritter

Serial No.

6236-16-DCL

Serial No.
Not yet assignedFiling Date
Not yet assignedExaminer
Not yet assignedGroup Art Unit
Not yet assignedInvention: WATER TREATMENT AGENT FOR THE PROLONGATION OF THE WATER EXCHANGE
INTERVALS IN MAINTENANCE SYSTEMSI hereby certify that this Trans. Ltr. to the U.S. Designated/Elected Office filing under 35 U.S.C. 371
(Identify type of correspondence)is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.
20231-0001 on 3/11/02

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JC13 Rec'd PCT/PTO 11 MAR 2002

PD-6236

**Water treatment agent for the prolongation of the water
exchange intervals in maintenance systems**

The invention concerns chemically- and microbiologically-acting compositions for the prolongation of the water exchange-free intervals in biological maintenance systems with use of ecologically neutral, chemically- and microbiologically-acting water additives.

In biological maintenance systems, e.g. aquaria, aquatic terrains and garden ponds, due to the daily feeding of the fish and other aquatic animals kept therein, it results in cumulative changes of important chemical water parameters and consequently in a continuous impairment of the water quality. From this follows a correspondingly reduced quality of life of the maintained fish and other aquatic animals.

If the starting water, e.g. tap water, possesses a sufficient quality, then, by frequent partial or complete change of water, an impairment of the water quality caused by the maintenance can be countered. The procedure of the water change is laborious and unpleasant for the aquarianists, for the maintained fish and other aquatic organisms not without, in part, considerable endangering due to undesired properties of the fresh starting water, for example chlorine or heavy metals.

Consequently, a minimising of the water change frequency and amount would be desirable when - as described in the present

invention - it succeeds to suppress or to eliminate the impairment of the water quality.

In detail, in biological maintenance systems, it comes to the following changes of important water parameters impairing the water quality:

- increase of the phosphate content,
- increase of the nitrate content,
- decrease of the carbonate hardness and of the pH value down to the point where the carbonate hardness is completely used up. The acute danger of the so-called acid fall then exists, i.e. the pH lowering goes far into the acid range. The result is a strongly increased fish mortality,
- consumption of important trace elements which are essential for the plant and bacterial metabolism,
- consumption of important water-soluble vitamins of the B group which are of importance for the whole ecosystem.

By means of a regular partial water change, the system-typical changes cannot be eliminated but only made smaller and only delay the impairment of the water quality. On the other hand, a regular partial water change involves still additional risks which, on the one hand, give rise to increased stress for fish and other aquatic organisms, on the other hand, are caused by the introduced fresh water. In the case of the very widely spread use of tap water, an endangering exists due to chlorine, heavy metals and the absence of organic colloids, whereby the tap water contains a certain mucous membrane aggressiveness.

Consequently, it is desirable to develop a water-preparing agent or process which reduces, minimises or eliminates the described changes of the water quality-determining parameters and thus makes possible a significant reduction of the partial

water exchange frequency or a distinct prolongation of the water exchange-free intervals.

The above listed partial problems can, in part, be countered by already known measures.

- A) The increase of the phosphate concentration mainly takes place by continuous introduction with the feed. The phosphate increase to values above 10 - 20 mg/l is disadvantageous since the undesired algal growth is promoted by phosphate.

The following measures are known for the phosphate reduction:

- a) Binding of phosphate on Al^{3+} and/or Fe^{3+} oxide (hydroxide group-containing granulates) which are introduced into the filter system. Their limited capacity is disadvantageous. After their exhaustion, it is necessary to change the granulates, which is frequently very laborious. If the aquarianist does not regularly measure the phosphate content, he will not recognise the exhaustion of the material and the PO_4^{3-} concentration in the maintenance water will again increase, i.e. the treatment success of this method is frequently only insufficient.
- b) In the case of regular use, the addition of dissolved inorganic Al^{3+} and/or Fe^{3+} salts also leads to the lowering of the PO_4^{3-} concentration. Disadvantages of this process are:
- high fish toxicity of the dissolved inorganic Al^{3+} and Fe^{3+} salts,

- enrichment of the water with anions, such as e.g. chloride and sulphate,
- reduction of the carbonate hardness, of the HCO_3^- and CO_3^{2-} content and therewith
- reduction of the buffer capacity,
- lowering of the pH level and danger of the acid fall at $\text{KH} = -0^\circ\text{dH}$,
- turbidity of the water and unpleasant flocculating out of $\text{Al}(\text{OH})_3$ and $\text{Fe}(\text{OH})_3$.

B) A further example for the mentioned undesired changes is the increase of the nitrate concentration due to continuous introduction of proteins and other nitrogen sources with the feed. All nitrogen sources resulting from the feed, to the greater part proteins, are oxidised microbially via ammonia and nitrite to nitrate. The continuous nitrate increase represents an unnatural loading of the maintenance water which is undesired for the aquarianist. The nitrate content of the starting water is frequently already so high, e.g. at 25 - 50 mg/l, so that the natural NO_3^- concentration of a few mg/l is never achievable by water change.

The following measures are known for the lowering of the nitrate content:

- a) Lowering of the nitrate content by anion exchangers mostly in chloride form. Disadvantageous is hereby the replacement of the nitrate ions by the loading anions of the exchanger, mostly chloride, and the replacement of sulphate and hydrogen carbonate ions. Besides the undesired lowering of the carbonate hardness, the chemical water composition is completely changed.

b) Denitrification in anaerobic medium or in anaerobic reactors. By introduction of practically insoluble organic nitrogen-free material in granulate form in the filter system, anaerobic regions are provided by strong O_2 provisions, in which nitrate is reduced as oxygen source to N_2 . Disadvantageous is:

- the uncertain dosing,
- the uncertain process control and process controllability,
- the sulphate reduction to highly toxic hydrogen sulphide to be expected in the case of small NO_3^- concentrations.

C) The nitrification-caused lowering of the carbonate hardness forms a further example for the mentioned undesired water changes. The oxidation of the continuously supplied organic nitrogen proceeds via the oxidation of ammonia to nitrite made possible by nitrifying bacteria. In the case of this biological process, one mol H^+ ions result per mol ammonia. The liberated H^+ ions react with bases present, mostly hydrogen carbonate as binder of the carbonate hardness with protonisation and reduction of the carbonate hardness.

For the compensation of the carbonate hardness losses (or HCO_3^- losses) but also for the increasing of the carbonate hardness, the following measures are known:

- a) Addition of $NaHCO_3$ and/or Na_2CO_3 as powder or as solution. The process functions dependably but suffers from the following disadvantages:

- In the case of $\text{NaHCO}_3/\text{Na}_2\text{CO}_3$ mixtures, it comes to rapid pH increases in the maintenance water which lead to considerable stress of the organism.
- In waters with increased ammonium contents, parallel to the pH increase, inter alia a lethal amount of ammonia is liberated.
- The water solubility of NaHCO_3 is relatively low so that highly concentrated products with convenient use are not possible.

- b) Addition of freshly prepared solutions which, besides dissolved calcium hydrogen carbonate, also contain must-free CO_2 . The excess CO_2 can lead to a rapid CO_2 damaging of the organism. Besides the HCO_3^- concentration, the Ca^{2+} concentration is here also increased, which is not always desired.

Furthermore, chemically- and biologically-caused losses of dissolved calcium hydrogen carbonate can bring about undesired water changes. By means of CO_2 consumption and the pH increase involved therewith, the lime/carbonic acid equilibrium is displaced in the direction of lime deposition. The disadvantageous loss of dissolved $\text{Ca}(\text{HCO}_3)_2$ leads to a corresponding lowering of the calcium concentration and of the HCO_3^- concentration (carbonate hardness lowering).

For the compensation of the losses of $\text{Ca}(\text{HCO}_3)_2$ or of its increasing, the following measures are known:

- a) Addition of solutions which, besides $\text{Ca}(\text{HCO}_3)_2$ also contain much free CO_2 . This measure suffers from the above-described disadvantages. A further disadvantage lies in the laboriousness of the process since the $\text{Ca}(\text{HCO}_3)_2$ solutions

must be laboriously prepared by dissolving of CaCO_3 or Ca(OH)_2 in CO_2 -enriched water. By addition of Mg(OH)_2 or $\text{MgCO}_3 \cdot \text{Mg(OH)}_2$, a solution can also be prepared which additionally contains $\text{Mg(HCO}_3)_2$.

- b) Addition of solid mixtures which contain equivalent amounts of NaHCO_3 and soluble Ca, Mg salts (mostly chlorides). By dissolving of this mixture in maintenance water, the ions $\text{Ca}^{2+} + 2 \text{Cl}^- + 2 \text{Na}^+ + 2 \text{HCO}_3^-$ are introduced. Besides the desired $[\text{Ca}^{2+} + 2 \text{HCO}_3^-]$, the water now also contains the equivalent amount of NaCl (or also Na_2SO_4), which is undesired. The disadvantage of this process consists in the introduction of foreign salts, e.g. NaCl or Na_2SO_4 .

Finally, a consumption of dissolved carbon dioxide also changes the water quality.

Algae, water plants and autotrophic micro-organisms continuously consume dissolved carbon dioxide. Besides the thereby increased pH value, a CO_2 deficiency situation results which acts disadvantageously on chemical and biological processes.

For the compensation of the CO_2 deficiency, the following CO_2 addition measures are known:

- a) Introduction of CO_2 gas from CO_2 pressure bottles.
Problematical in the case of this method are:
- the difficultly adjustable and controllable dosing,
 - the price,
 - safety risks which are involved with the pressure gas system.

- b) CO₂ production by anodic oxidation of a graphite electrode.

The system contains the following disadvantages:

- poor dosability,
- CO₂ peaks due to secondary chemical processes on the cathode, combined with a strong decalcification,
- resulting of oxyhydrogen,
- formation of chlorine in chloride-enriched waters.

- c) Production of CO₂ in external fermentation reactors. Here, too, serious, system-caused disadvantages exist, e.g.

- strong temperature dependency of the fermentation process,
- difficultly controllable process,
- very poor dosing possibility and dosing constancy.

The various above-described problems initially appear to be heterogenous and not solvable with one principle.

Surprisingly, it was found that the improvement of the water quality of biological maintenance systems is achievable by agents by means of which are added to the maintenance system singly or in any desired combination the following components:

- a) for the lowering of the phosphate concentration, at least one easily or sparingly soluble Al³⁺, Fe³⁺, TiO²⁺, ZrO²⁺ or Ca²⁺ salt of an organic carboxylic acid possibly in admixture with an organic carboxylic acid;
- b) for the lowering of the nitrate concentration or limitation of the nitrate increase, at least one water-soluble, N-free, biologically decomposable organic compound;

- c) for the increasing of the carbonate hardness or of the HCO_3^- concentration, at least one alkali metal or alkaline earth metal salt of an organic carboxylic acid;
- d) for the increasing of the total hardness or of the concentration of Ca^{2+} and Mg^{2+} hydrogen carbonate, a mixture of at least one Ca^{2+} and Mg^{2+} salt of an organic carboxylic acid, and
- e) for the increasing of the CO_2 concentration, at least one biologically decomposable compound.

Products which, in the form of water additions, stably lessen or solve the above-described problems in toto and without side effects over a long time are hitherto not known.

Now, the task forming the basis of the invention is to develop a water additive which, from a general point of view

- reduces, minimises or eliminates the described changes of quality-determining water parameters,
- considerably prolongs the partial water exchange-free intervals from hitherto 1 to 4 weeks, e.g. to 6 months, and
- thereby makes the aquarium hobby safer, simpler and more attractive.

In particular, in the case of regular use, the water additive should reduce or minimise or eliminate the following chemical changes:

- the phosphate increase,
- the nitrate increase,

- the carbonate hardness loss and the pH lowering,
- the acid fall,
- the consumption of essential trace elements,
- the consumption of water-soluble vitamins of the B group.

Thus, the subject of the invention is a composition for the long-term improvement of the water quality of biological maintenance systems characterised by a content of

- 1) at least one easily or sparingly soluble Al^{3+} , Fe^{3+} , TiO^{2+} or ZrO^{2+} salt of an organic carboxylic acid, possibly in admixture with an organic carboxylic acid;
- 2) at least one water-soluble, N-free, biologically decomposable organic compound;
- 3) at least one soluble alkali metal or alkaline earth metal salt of an organic carboxylic acid; and
- 4) at least one Mg^{2+} salt of an organic carboxylic acid, possibly in admixture with at least one Ca^{2+} salt of an organic carboxylic acid, as well as
- 5) trace elements and vitamins, especially water-soluble vitamins of the B series.

Surprisingly, it was possible to combine the above-mentioned individual components to a single active material combination for a more comprehensive chemical/microbiological water processing.

In addition to the components necessary for the removal of the initially described partial problems, the resulting composition can also contain all essential trace elements and water-soluble vitamins, especially those of the B group.

The use of only a single water treatment agent in the form of a combination product is, for the aquarianist, considerably more pleasant, simpler and safer than various uses of individual problem solvers.

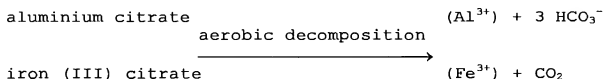
The new composition (in the form of a combination preparation) for the combined problem solving contains the following individual components:

- A) Components for the prevention of the phosphate increase or for the reduction of the phosphate concentration:

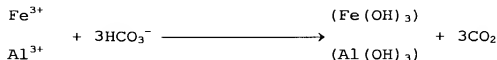
This function is fulfilled by easily or sparingly soluble Al^{3+} , Fe^{3+} , TiO^{2+} or ZrO^{2+} salts of organic carboxylic acids, e.g. their acetates, formates, tartrates and especially citrates. Besides the strongly phosphate binding metal ions Al^{3+} , Fe^{3+} , TiO^{2+} or ZrO^{2+} , calcium salts of organic carboxylic acids can also be used in similar manner, however with considerably smaller phosphate elimination ability. Mixtures of the salts of organic acids with the basic organic acids or other organic acids are also usable with the same success, e.g.

aluminium citrate plus citric acid,
iron (III) citrate plus citric acid,
iron (III) citrate plus tartaric acid.

The principle is illustrated in the following for Al^{3+} and Fe^{3+} salts but applies correspondingly for TiO^{2+} and ZrO^{2+} salts. If Al^{3+} and/or Fe^{3+} salts of carboxylic acids are added to the maintenance water, then initially no flocculation and turbidity is observed. Only in the case of the aerobic biological breakdown in the filter system according to



In the case of directly following formation of $\text{Al}(\text{OH})_3$ or $\text{Fe}(\text{OH})_3$ according to



is phosphate added and precipitated out together with the hydroxides.

The precipitated metal hydroxides with co-flocculated phosphate collect in the filter sludge and are eliminated in the case of the regular filter cleaning.

By means of regular addition of organic metal salts, e.g. as aqueous solution, to the maintenance water, the phosphate increase can be completely prevented.

In contradistinction to the phosphate precipitation with inorganic Al^{3+} or Fe^{3+} salts, the phosphate precipitation according to the invention contains serious and surprising advantages:

- no turbidity and flock formation results in the water,
- the process takes place substantially in the biologically active filter system,
- the organic metal salts behave toxicologically neutral, ecologically neutral, carbonate hardness neutral,
- no enriching foreign ions are added,
- by aerobic breakdown of the carboxylic acid anions, only CO_2 is produced which positively influences the CO_2 content or, in part compensates the CO_2 consumption.

The adjusted phosphate concentrations are typical for each metal:

for Fe citrate: about 0.0 - 0.2 mg/l
for Al citrate: about 0.0 - 0.5 mg/l
for Ca citrate: about 0.5 - 1.5 mg/l.

Aluminium citrate and/or iron citrate are preferably used. The concentration of use in the maintenance water amounts to 0.5 - 50 mg/l, preferably 0.5 - 10 mg/l, in the case of a dosing of one to three times per week.

B) Components for the prevention or limiting of the nitrate increase:

If to the maintenance water are regularly added N-free, organic, decomposable substances, also without the presence of anaerobic reactors, the increase of the nitrate concentration is slowed down or limited and a nitrate

concentration is achieved which levels out at an average level. Without treatment with these water additives according to the invention, the nitrate content increases monotonously and unlimitedly. Since the reason for the limited or braked nitrate increase lies in a partial denitrification in anaerobic micro-regions in the filter, parallel to the slowing down or limitation of the nitrate increase, the nitrification-caused loss of carbonate hardness (HCO_3^- concentration) is also inhibited or limited.

As nitrate-reducing, water-soluble compounds, there can, in principle, be used all biologically decomposable organic compounds but preferably aliphatic compounds, such as for example alcohols, e.g. glycerol, sorbitol or ethanol, sugars, e.g. pentoses, hexoses or saccharose, or carboxylic acids, e.g. acetic acid, citric acid, lactic acid or tartaric acid. Combinations of, in each case, the same amount parts of citric acid and saccharose or acetic acid and saccharose have also proved to be useful.

Acetic acid, tartaric acid, citric acid, glycerol, glucose, saccharose are preferably used, whereby a combination of citric acid, tartaric acid and saccharose has proved to be especially good.

The concentrations of use in maintenance water amounts to for citric acid 0.5 - 100 mg/l, preferably 1 - 20 mg/l; for saccharose to 0.5 - 50 mg/l, preferably 1 - 20 mg/l, and for tartaric acid to 0.5 - 50 mg/l, preferably 1 - 20 mg/l, in the case of a dosing of one to three times per week.

Parallel to the NO_3^- stabilising, there is also achieved a stabilising of the carbonate hardness at minimum values, below which the carbonate hardness does not sink further.

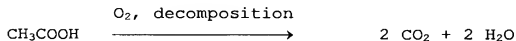
The added compounds are completely broken down to H_2O and CO_2 . The CO_2 formed is used as C-sources by plants, algae and nitrifying bacteria.

By introduction of an aeration, the CO_2 -concentration can, according to need, be corrected downwardly.

- C) Components for the compensation of the losses of carbonate hardness or hydrogen carbonate:

In the case of the present solution according to the invention, one uses the following microbiological/chemical principle with use of Na^+ , Ca^{2+} , Mg^{2+} and Sr^{2+} salts of aliphatic carboxylic acids, such as e.g. acetic acid, lactic acid, citric acid, tartaric acid, formic acid, propionic acid, malic acid and the like.

If carboxylic acids, e.g. acetic acid, are broken down microbiologically, there results only H_2O and CO_2 :



If, on the other hand, one subjects salts of the carboxylic acids to the microbiological decomposition, then, besides CO_2 , corresponding to the number of the introduced negative charges of the anions, hydrogen carbonate is also formed.

O_2 , decomposition



By the introduction of salts of carboxylic acids into the maintenance water, after biological decomposition the hydrogen carbonates are formed.

On the example for sodium hydrogen carbonate from organic sodium salts, e.g. Na acetate, Na citrate, may not act very spectacularly since NaHCO_3 itself is very easily accessible. However, even here, in the case of liquid compositions, there exists the great advantage of the mostly - in comparison with NaHCO_3 very high solubility, for example of Na acetate, which permits the high product concentrations and ranges.

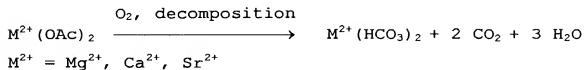
A further advantage of the use of organic Na salts instead of NaHCO_3 or Na_2CO_3 consists in the pH neutral use;

- The Na salt of organic carboxylic acids acts pH neutral, can with excess carboxylic acid(s) even adjust acidically in the product. This is, of course, not possible with NaHCO_3 or Na_2CO_3 .
- In the case of the biological breakdown, there still results (except in the case of formates) CO_2 which also counters a pH increase.

Even better recognisable are the advantages of the problem solution according to the invention when one considers the introduction of the hydrogen carbonates of the alkaline earth metals Mg^{2+} , Ca^{2+} , Sr^{2+} which, as known, are not available as substances. By addition of the soluble Mg^{2+} , Ca^{2+} , Sr^{2+} salts of organic carboxyli acids, in the

maintenance water there can be built up without problem the desired concentrations of the hydrocarbonates.

Example: (acetate)



The dosing is orientated to the desired adjustment or increasing of the carbonate hardness or of the HCO_3^- concentration. 1 mMol/l Na salt of organic carboxylic acids increases the carbonate hardness by 2.8°dH, 1 mMol/l Mg^{2+} , Ca^{2+} , Sr^{2+} salts of organic carboxylic acids increases the carbonate hardness by 5.6°dH.

As carboxylic acid, there can be used:

- a) for Na + salts:
practically all aliphatic carboxylic acids,
especially acetic acid, lactic acid, citric acid,
tartaric acid and the like.
- b) for Mg^{2+} salts:
practically all aliphatic carboxylic acids,
especially acetic acid, lactic acid, citric acid,
tartaric acid and the like.
- c) for Ca^{2+} salts:
all aliphatic carboxylic acids which form water-
soluble Ca^{2+} salts, especially formic acid, acetic
acid, propionic acid, lactic acid, malic acid and the
like.

d) for Sr^{2+} salts:

all aliphatic carboxylic acids which form water-soluble Sr^{2+} salts, especially formic acid, acetic acid, propionic acid, lactic acid, malic acid and the like.

Na^+ and Mg^{2+} salts of citric acid and tartaric acid are preferably used. Because of the normally high Ca^{2+} content of the starting water, Ca^{2+} salts can be omitted; an admixing is, however, generally possible if acids are used which form soluble Ca salts.

The carbonate hardness added to the maintenance water expediently one to three times a week amounts to 0.05 - 5°dH, preferably 0.1 - 1.0°dH. This is achieved by the corresponding addition of 0.018 - 1.8 mMol/l alkali metal salts, preferably 0.036 - 0.36 mMol/l or 0.009 - 0.9 mMol/l alkaline earth metal salts, preferably 0.018 - 0.18 mmol, or corresponding mixtures of alkali metal and alkaline earth metal salts.

D) Components for the increasing of the total hardness:

With the supplying of Mg^{2+} salts (and Ca^{2+} salts) of organic carboxylic acids for the increasing of the carbonate hardness as described under C) is automatically bound an increasing of the total hardness. The advantages are:

- very simple and sure, defined adjustment and increasing of the total hardness,
- problem-free preparation and use of product compositions, especially liquid solutions,
- no introduction of undesired foreign ions,

- easy adjustment of all desired Mg:Ca ratios from ∞ :1 to 1: ∞ ,
- only controlled amounts of CO₂ are produced, which serve plants, algae and autotrophic micro-organisms for the C-supplying,
- besides the here-described Mg²⁺ and Ca²⁺ hydrogen carbonates formed from organic salts, there can also be added other inorganic Mg²⁺, Ca²⁺ salts, such as e.g. chlorides and sulphates, so that every possible or required chemical composition of the total hardness can be realised.

Mg²⁺ salts (if required, also Ca²⁺ salts) of citric acid and tartaric acid are preferably used.

The total hardness added to the maintenance water one to three times a week as magnesium hardness amounts to 0.01 - 2°dH, preferably 0.01 - 1°dH, which corresponds to 0.0018 - 0.36 mMol/l, preferably 0.018 - 0.18 mMol/l magnesium salt.

E) Components for the increasing of the CO₂ concentration:

In the case of the definition of the above components A) to D), it has already been described that, in the case of the biological decomposition of organic compounds in the maintenance system, CO₂ is formed. This can be built up to an internal, microbiologically-working CO₂ supply system. A continuous and sufficient but not yet organism-damaging supply of CO₂ to the maintenance water fulfils various important functions:

- carbon fertilising of plant organisms,

- carbon supplying of the autotrophic micro-organisms, especially the nitrificants,
- prevention of the pH increase caused by CO₂ consumption,
- adjustment of a definite pH value by adjustment of the HCO₃⁻/CO₂ acid-base equilibrium,
- intervention into the lime/CO₂ equilibrium and prevention of the chemical and biological lime precipitation.

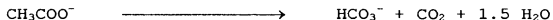
It has been shown that CO₂ concentrations between 1 and 25 mg/l, preferably 5 - 15 mg/l, lie in the optimum range. Potential CO₂ damagings of fish and other water organisms do not here occur. Since CO₂ is continuously used up in the maintenance system and losses occur into the atmosphere, CO₂ must be dosed in the correct amounts to the maintenance water. This can be achieved very easily by a dosing to be carried out one to three times a week of biologically decomposable organic carboxylic acids, alcohols and sugars. The following compounds have proved to be especially useful:

- a) carboxylic acids: formic acid, oxalic acid, acetic acid, lactic acid, citric acid, malic acid, tartaric acid,
- b) alcohols: ethanol, glycerol, sorbitol,
- c) sugars: pentoses, hexoses, saccharose.

If one doses the carboxylic acids alone, then, in a chemical reaction, from the hydrogen carbonate supply there is immediately liberated the equivalent amount of CO₂:



In the case of the subsequent biological breakdown of the carboxylic acid anion, the consumed hydrogen carbonate is again slowly produced (within a few hours to 24 hours) and further CO_2 formed:



Consequently, carboxylic acids produce CO_2 in a stepped process:

- a) in a secondary reaction by protonisation of HCO_3^- ,
- b) in a reaction lasting a few hours up to 24 hours by oxidative biological breakdown.

Alcohols and sugars added to the maintenance system are subsequently broken down to H_2O and CO_2 by the relatively slow microbiological reaction.

By choice of combinations of different C-sources with differing rate of CO_2 liberation, there can be achieved a very uniform CO_2 introduction, e.g. by the combination of citric acid and saccharose or acetic acid and saccharose.

The maximum formed CO_2 concentration in the maintenance water (after complete breakdown of the organic additives) amounts to 1 - 100 mg/l, preferably 5 - 50 mg/l in the case of a dosing of one to three times per week.

By means of biological consumption by plant organisms and autotrophic bacteria, as well as by a continuous weak aeration, the CO_2 concentration maxima are quickly levelled off.

F) Components for the increasing of the concentration or compensation of the continuous losses of essential trace elements:

In the following Table 1 are listed the general and preferred concentration ranges of the trace element used.

In order to avoid an accumulation of non-decomposable complex formers, all metallic complex-forming trace elements are added to the maintenance water in the form of citrates, tartrates and the like.

Table 1

	concentration in the maintenance water		
trace element	total concentration	preferred concentration range	complex-bound
iron	1 - 100 µg/l	2 - 20 µg/l	yes
boric acid	0.5 - 50 µg/l	0.5 - 10 µg/l	no
bromide	0.1 - 100 µg/l	0.1 - 5 µg/l	no
iodide	0.01 - 100 µg/l	0.1 - 10 µg/l	no
lithium	1 - 200 ng/l	5 - 100 ng/l	no

tin	1 - 200 ng/l	5 - 100 ng/l	yes
manganese	0.1 - 100 µg/l	0.2 - 20 µg/l	yes
zinc	0.1 - 100 µg/l	0.1 - 10 µg/l	yes
nickel	0.01 - 20 µg/l	0.05 - 5 µg/l	yes
copper	0.01 - 20 µg/l	0.05 - 5 µg/l	yes
vanadium	1 - 500 ng/l	5 - 100 ng/l	yes
molybdenum	1 - 500 ng/l	5 - 100 ng/l	no
cobalt	0.1 - 50 ng/l	0.5 - 20 ng/l	yes

The trace elements are dosed with the combination agent according to the invention to the maintenance water one to three times a week.

- G) Components for the increasing of the concentration or compensation of the continuous consumption of the water-soluble vitamins of the B group:

In the following Table 2 are listed the general and preferred concentration ranges of the water-soluble vitamins of the B group introduced into the maintenance water:

Table 2

vitamin	concentration in the maintenance water	
	total concentration	preferred concentration range
B1	0.1 - 100 µg/l	0.1 - 50 µg/l
B2	0.05 - 50 µg/l	0.05 - 10 µg/l
B6	0.01 - 30 µg/l	0.05 - 10 µg/l
B12	0.05 - 50 ng/l	0.05 - 10 ng/l
nicotinic acid amide	0.1 - 50 µg/l	0.1 - 20 µg/l
panthenol	0.1 - 100 µg/l	0.1 - 10 µg/l
biotin	0.01 - 10 µg/l	0.01 - 1 µg/l

The vitamins are dosed with the active material combination one to three times per week.

The following embodiment example is to explain the invention in more detail.

Embodimental example

To completely set up, planted, filtered and weakly aerated warm water aquaria (70 l contents occupied by 10 - 20 average sized tropical fish) were added once a week the above-described components for the prolongation of the water change interval in the form of a combination agent.

In the case of a dosing of 1 ml of solution of the composition per 4 litres of aquarium water, the active material concentration set out in the following Table 3 were achieved:

Table 3

component	concentration in the maintenance water	added hardness
citric acid	11.0 mg/l	
tartaric acid	3.5 mg/l	
saccharose	5.0 mg/l	
iron citrate	2.5 mg/l	
NaHCO ₃	-	0.3 °dH
Mg(HCO ₃) ₂ total hardness	-	0.1 °dH

carbonate hardness	-	0.4 °dH
Fe ³⁺	13.0 µg/l	
H ₃ BO ₃	6.0 µg/l	
Br ⁻	1.0 µg/l	
I ⁻	1.0 µg/l	
Li ⁺	50.0 ng/l	
Sn ²⁺	50.0 ng/l	
Mn ²⁺	3.0 µg/l	
Zn ²⁺	1.5 µg/l	
Ni ²⁺	0.3 µg/l	
Cu ²⁺	0.3 µg/l	
V	50.0 ng/l	
Mo	50.0 ng/l	
Co	8.0 ng/l	
Bi	10.0 µg/l	

B2	0.6 µg/l	
B6	0.3 µg/l	
B12	0.7 ng/l	
nicotinic acid amide	3.0 µg/l	
panthenol	1.3 µg/l	
biotin	0.1 µg/l	

The aquaria were maintained for 6 months without water change. Evaporated water was supplemented by demineralised water in order to provide a worst-case situation with regard to KH (carbonate hardness) losses, pH fall.

During the whole experimental time, the following parameters of the maintenance water were monitored:

1. Phosphate concentration:
In the whole experimental period, the phosphate concentration remained below 0.1 - 0.2 mg/l.
2. Nitrate concentration:
Even with very low weekly supply of nitrate-lowering components (citric acid, saccharose, tartaric acid), the NO₃ content increased up to about 100 - 140 mg/l and then remained constant. By doubling of the nitrate-lowering components, the nitrate maximum

would be held at 50 - 70 mg/l and in the case of a dosing of this amount every 2 days, the NO_3 content would not increase considerably over the initial concentration of about 15 - 20 mg/l.

3. Carbonate hardness content, pH value:
The weekly introduced amount of carbonate hardness (together 0.4°dH) was sufficient for the compensation of the KH losses. The acid fall could therewith be dependably hindered, the pH value was stabilised in the range of pH 7.3 - 8.0.
4. Introduction of CO_2 :
The weekly dosing in of decomposable organic compounds (citric acid, tartaric acid, saccharose, iron citrate, sodium citrate, magnesium citrate) provided for the liberation of sufficient CO_2 in order to cover the weekly CO_2 requirement of the aquarium in sufficient measure.

The CO_2 concentration thereby remained between 2.5 and 25 mg/l CO_2 .
5. Supplementing of the trace elements:
The weekly dosing in of the trace elements set out in Table 1 (Fe to Co) continuously compensated for the losses due to trace element consumption or elimination, recognisable by the very good plant growth and vital healthy fish. The fish losses were nil.
6. Supplementing of the water-soluble vitamins:

The B-vitamins set out in Table 2 (B1 to biotin) were added weekly to the aquarium water in the stated concentration of use.

7. General biological assessment of the test aquaria 7 months without water change:
- The aquaria treated once a week with the composition according to the invention showed, in comparison with the untreated control aquaria
- a lower fish mortality (in the whole time period, no fish died),
 - a clearly improved growth and appearance of the water plants,
 - less algal growth.

The status of the aquaria was so favourable that even a still further extended elongation of the water exchange-free period appeared possible, for example 9 to 12 months.

Composition, preparation, form of administration of the combination product or preparation according to the invention:

The exact composition of the combination product or preparation is derived from

- the active material concentrations to be introduced into the maintenance water (e.g. the concentrations set out in Table 3 for the weekly dosing and the raw materials or active material precursors derived therefrom);
- the amount of water to be prepared or to be stabilised (e.g. 1 packing for 100 - 1000 l of aquarium water);
- the dosing frequency, e.g.

- daily
- every 2 days
- 2 x per week is preferred.

The combination agents according to the invention can be made available in the form of concentrates, aqueous solutions or solid compositions, such as e.g. powders, granulates, extrudates, tablets, pearls or in capsules.

Besides the pure active materials or active material precursors, the compositions can contain further components corresponding to the prior art, for example preserving agents, thickeners, 1 x week, 1 x per 2 weeks suspension stabilisers for liquid compositions, colouring materials, technological adjuvants for the granulation, tableting or extruding, flow improvers in the case of powders.

Patent Claims

1. Water treatment agent for the long-term improvement of the water quality of biological maintenance systems, characterised by a content of
 - a) at least one easily or sparingly soluble Al^{3+} , Fe^{3+} , TiO^{2+} or ZrO^{2+} salt of an organic carboxylic acid, possibly in admixture with an organic carboxylic acid;
 - b) at least one water-soluble N-free, biologically decomposable organic compound;
 - c) at least one soluble alkali metal or alkaline earth metal salt of an organic carboxylic acid and
 - d) at least one Mg^{2+} salt of an organic carboxylic acid, possibly in admixture with at least one Ca^{2+} salt of an organic carboxylic acid, as well as
 - e) trace elements and vitamins, especially water-soluble vitamins of the B series.
2. Agent according to claim 1 containing
 - a) an Al^{3+} , Fe^{3+} , TiO^{2+} and/or ZrO^{2+} acetate, formate, tartrate and/or especially citrate;
 - b) at least one carboxylic acid, an alcohol and/or a sugar;

- c) an alkali metal or alkaline earth metal salt of citric, acetic, lactic, tartaric, formic or malic acid and
 - d) a Ca^{2+} or Mg^{2+} salt or a mixture of Ca^{2+} and Mg^{2+} salts of organic carboxylic acids, as well as
 - e) trace elements and vitamins, especially water-soluble vitamins of the B series.
- 3. Agent according to claim 1 or 2, containing aluminium citrate and/or iron citrate as component a).
 - 4. Agent according to claim 1 or 2, containing as component b) acetic, citric, tartaric or lactic acid, glycerol, sorbitol or ethanol or a pentose, a hexose or saccharose.
 - 5. Agent according to claim 4 containing as component b) a combination of citric acid, tartaric acid and saccharose.
 - 6. Agent according to claim 1 or 2 containing as component d) a sodium and/or magnesium salt of citric and/or tartaric acid.
 - 7. Agent according to claim 1 or 2 containing as component e) magnesium citrate and/or tartrate, possibly in admixture with calcium citrate and/or tartrate.
 - 8. Agent according to claim 1 or 2 containing as trace elements iron, boric acid, bromide, iodide, lithium, tin, manganese, zinc, nickel, copper, vanadium, molybdenum and/or cobalt.

9. Agent according to claim 1 or 2 containing as vitamins vitamin B1, B2, B6, B12, nicotinic acid amide, panthenol and/or biotin.
10. Agent according to claims 1 to 9 containing, per dosage unit for 1 l of maintenance water, the components in the following amounts:
- a) 0.5 - 50 mg, preferably 0.5 - 10 mg;
 - b) one or more organic compounds, preferably citric acid, saccharose and/or tartaric acid, in each case 0.5 - 100 mg, preferably 0.5 - 50 mg, especially preferably 1 - 20 mg;
 - c) 0.018 - 1.8 mmol alkali metal salt, preferably 0.036 - 0.36 mmol, or 0.009 - 0.9 mmol alkaline earth metal salt, preferably 0.018 - 0.18 mmol, or corresponding mixtures of alkaline earth and alkali metal salts;
 - d) 0.0018 - 0.36 mmol magnesium salt, preferably 0.018 - 0.18 mmol;
 - e) 1 - 100 µg iron, preferably 2 - 20 µg;
0.5 - 50 µg boric acid, preferably 0.5 - 10 µg;
0.1 - 100 µg bromide, preferably 0.1 - 5 µg;
0.01 - 100 µg iodide, preferably 0.1 - 10 µg;
1 - 200 ng lithium, preferably 5 - 100 ng;
1 - 200 ng tin, preferably 5 - 100 ng;
0.1 - 100 µg manganese, preferably 0.2 - 20 µg;
0.1 - 100 µg zinc, preferably 0.1 - 10 µg;
0.01 - 20 µg nickel, preferably 0.05 - 5 µg;

0.01 - 20 μg copper, preferably 0.05 - 5 μg ;
1 - 500 ng vanadium, preferably 5 - 100 ng;
1 - 500 ng molybdenum, preferably 5 - 100 ng;
0.1 - 50 ng cobalt, preferably 0.5 - 20 ng;
0.1 100 μg vitamin B1, preferably 0.1 50 μg ;
0.05 50 μg vitamin B2, preferably 0.05 10 μg ;
0.01 30 μg vitamin B6, preferably 0.05 10 μg ;
0.05 - 50 ng vitamin B12, preferably 0.1 - 10 ng;
0.1 - 50 μg nicotinic acid amide, preferably 0.1 - 20
 μg ;
0.1 - 100 μg panthenol, preferably 0.1 - 10 μg ; and
0.01 - 10 μg biotin, preferably 0.01 - 1 μg .

Summary

There is described a composition for the long-term improvement of the water quality of biological maintenance systems characterised by a content of

- 1) at least one easily or sparingly soluble Al^{3+} , Fe^{3+} , TiO^{2+} or ZrO^{2+} salt of an organic carboxylic acid, possibly in admixture with an organic carboxylic acid;
- 2) at least one water-soluble N-free, biologically decomposable organic compound;
- 3) at least one soluble alkali metal or alkaline earth metal salt of an organic carboxylic acid and
- 4) at least one Mg^{2+} salt of an organic carboxylic acid, possibly in admixture with at least one Ca^{2+} salt of an organic carboxylic acid, as well as
- 5) trace elements and vitamins, especially water-soluble vitamins of the B series.

With the help of the described composition, changes of the water quality-determining parameters can be reduced, minimised or eliminated and thus a significant reduction of the partial water exchange frequency or a distinct prolongation of the water exchange-free intervals achieved therewith.

Docket No. 6236-16-DCL

**COMBINED DECLARATION AND POWER
OF ATTORNEY IN ORIGINAL APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name; and that

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE: WATER TREATMENT AGENT FOR THE PROLONGATION OF THE WATER EXCHANGE INTERVALS IN MAINTENANCE SYSTEMS

the specification of which

☐ is attached hereto, or,

☒ was filed on March 11, 2002 as United States

(MM/DD/YY)

Application Number 10/088,048 or PCT International Application No.

_____ and was amended on _____ (if applicable).

(MM/DD/YY)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or PCT International application having a filing date prior to that of the application on which priority is claimed.

Prior Foreign Application(s) (if any):

Number	Country	Filing Date	Priority Not Claimed
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<u>19944800.0</u>	<u>Germany</u>	<u>09/18/1999</u>	<input type="checkbox"/>
		(MM/DD/YY)	

Docket No. 6236-16-DCL

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application No.**Filing Date**None(MM/DD/YY)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or the PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which become available between the filing date of the prior application and the national or PCT international filing date of this application:

**Application
No.****Filing
Date****Status (Patented)
Pending, Abandoned)**PCT/EP00/07981August 16, 2000
(MM/DD/YY)

Pending

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Docket No. 6236-16-DCL

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further, that these statements were made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, pursuant to 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00

Full name of sole or
first inventor (given name,
family name)

Günter Ritter

First Inventor's signature

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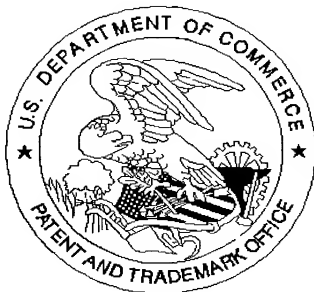
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